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Docket No 126 H (2000 1990 600/2900

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Martina Placid

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: To Be Assigned

Group Art Unit: 1627

In the application of:

H. Ralph SNODGRASS

Serial No.:

09/881,526

Filing Date:

June 14, 2001

For:

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STEM CELLS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

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The documents listed on the attached Form PTO-1449 were cited in all observation by a third party in a related European application. Please note, the international publications WO 97/01644 and WO 97/13877 as well as the US Patent No. 5,736,332 mentioned in the observation were not included on the form 1449 as they were each previously cited in an earlier Information Disclosure Statement for the subject application.

This Information Disclosure Statement is submitted:

\boxtimes	Withir	Vithin three months of the application filing date or before mailing of a first					
	Office	Action on the merits; accordingly, no fee or separate requirements are					
	require	ed.					
	After receipt of a first Office Action on the merits but before mailing of a						
	Office Action or Notice of Allowance.						
		A fee is required. A check in the amount of is enclosed.					
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is					
		attached to this submission in duplicate.					
		A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly;					
		no fee is believed to be due.					
	After	mailing of a final Office Action or Notice of Allowance, but before payment					
•	of the issue fee.						
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in					
		the amount of is enclosed.					
•		A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee					
		Transmittal form (PTO/SB/17 is attached to this submission in duplicate.					

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.



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The information contained in this Supplemental Information Disclosure Statement in 620/2900 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to <u>Deposit Account No. 03-1952</u> referencing <u>441472000500</u>. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: April 3, 2002

Respectfully submitted,

Bv:

Cara M. Coburn

Registration No. 46,631

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Palo Alto, California 94304-1018

Telephone: (650) 813-4218 Facsimile: (650) 494-0792

PTO/SB/08 (2-92)

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Form PTO	1449		-	Docket Nu	Docket Number 441472000500 Application Number 00/881/2				řED
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U.S. PATENT DOCUMENTS									
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FOREIGN PATENT DOCUMENTS									
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	1.	04/08/1998	0 834 575 A2, 3, B1	Europe					
OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.)									ages, Etc.)
Examiner Initials	Ref. No.	Title							
	2.	Communication Pursuant to Article 115(2) EPC. (January 15, 2002). Observations filed by a third party concerning the pantentability of the invention.							
		party concern	ining the partientaoint	y of the mivem		<u> </u>			
EXAMINER:					DATE CONSIDERED:				
	EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in								
contormat	conformance and not considered. Include a copy of this form with next communication to applicant.								





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TECH CENTER FIGURE (2003) ON Approved for use through 10/31/02. OM 665 V 0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

09/881,526

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application Number

TRANSMITTAL	74Pitoditotrailibo.						
	Filing Date	June 14, 2001					
FORM	First Named Inventor	H. Ralph SNODGRASS					
	Group Art Unit	1627					
(to be used for all correspondence after initial filing)	Examiner Name	To Be Assigned					
Total Number Of Pages In This Submission 6 + 2 Refs.	Attorney Docket No.	441472000500					
ENCLOS	URES (check all that	apply)					
	signment Papers r an Application)						
Fee Attached Dr	awing(s)	Appeal Communication to Board of Appeals and Interferences					
Amendment / Reply	ensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final Pe	tition	Proprietary Information					
I Attiday/ite/doclaratione	tition to Convert to a ovisional Application	Status Letter					
	Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please identify below):						
Те	rminal Disclaimer	Form PTO-1449 (1 page in duplicate) 2 cited references Return receipt postcard					
Express Abandonment Request Re	quest for Refund	· ·					
Information Disclosure Statement (3 pages)	CD, Number of CD(s)						
Certified Copy of Priority Document(s) Remarks							
Response to Missing Parts/ Incomplete Application							
Response to Missing Parts under 37 CFR 1.52 or 1.53							
SIGNATURE OF	APPLICANT, ATTORN	IEY OR AGENT					
Firm Morrison & Foerster LLP, 755 Pag	e Mill Road, Palo Alto, California	94304-1018					
or Individual Name Cara M. Cobum, Reg. No. 46,631							
Signature (Market 1)	~ (DWV						
Date April 3, 2002							
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Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.